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Search for production of four top quarks in final states with same-sign or multiple leptons in proton-proton collisions at $\sqrt{s} = 13 \text{ TeV}$

The CMS Collaboration

Abstract

The standard model (SM) production of four top quarks (tĪtĪ) in proton-proton collision is studied by the CMS Collaboration. The data sample, collected during the 2016–2018 data taking of the LHC, corresponds to an integrated luminosity of 137 fb⁻¹ at a center-of-mass energy of 13 TeV. The events are required to contain two same-sign charged leptons (electrons or muons) or at least three leptons, and jets. The observed and expected significances for the tĪtĪt signal are respectively 2.6 and 2.7 standard deviations, and the tĪtĪt cross section is measured to be $12.6^{+5.8}_{-5.2}$ fb. The results are used to constrain the Yukawa coupling of the top quark to the Higgs boson, y_t , yielding a limit of $|y_t/y_t^{\rm SM}| < 1.7$ at 95% confidence level, where $y_t^{\rm SM}$ is the SM value of y_t . They are also used to constrain the oblique parameter of the Higgs boson in an effective field theory framework, $\hat{H} < 0.12$. Limits are set on the production of a heavy scalar or pseudoscalar boson in Type-II two-Higgs-doublet and simplified dark matter models, with exclusion limits reaching 350–470 GeV and 350–550 GeV for scalar and pseudoscalar bosons, respectively. Upper bounds are also set on couplings of the top quark to new light particles.

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1. Introduction

1 Introduction

- ² The production of four top quarks ($t\bar{t}t\bar{t}$) is a rare standard model (SM) process, with a predicted
- ³ cross section of $\sigma(pp \rightarrow t\bar{t}t\bar{t}) = 12.0^{+2.2}_{-2.5}$ fb in proton-proton (pp) collisions at a center-of-mass
- 4 energy of 13 TeV, as calculated at next-to-leading-order (NLO) accuracy for both quantum chro-
- 5 modynamics and electroweak interactions [1]. Representative leading-order (LO) Feynman
- 6 diagrams for SM production of tttt are shown in Fig. 1.



Figure 1: Typical Feynman diagrams for tttt production at leading order in the SM.

- 7 The tttt cross section can be used to constrain the magnitude and CP properties of the Yukawa
- ⁸ coupling of the top quark to the Higgs boson [2, 3]. Moreover, tttt production can be signifi-
- ⁹ cantly enhanced by beyond-the-SM (BSM) particles and interactions. New particles coupled to
- ¹⁰ the top quark, such as heavy scalar and pseudoscalar bosons predicted in Type-II two-Higgs-
- doublet models (2HDM) [4–6] and by simplified models of dark matter (DM) [7, 8], can con-
- ¹² tribute to $\sigma(pp \rightarrow t\bar{t}t\bar{t})$ when their masses are larger than twice the mass of the top quark, with
- ¹³ diagrams similar to Fig. 1 (right). Additionally, less massive particles can enhance $\sigma(pp \rightarrow t\bar{t}t\bar{t})$
- ¹⁴ via off-shell contributions [9]. In the model-independent framework of SM effective field the-
- ory, four-fermion couplings [10], as well as a modifier to the Higgs boson propagator [11], can be constrained through a measurement of $\sigma(pp \rightarrow t\bar{t}t\bar{t})$. Conversely, models with new
- ¹⁷ particles with masses on the order of 1 TeV, such as gluino pair production in the framework
- ¹⁸ of supersymmetry [12–21], are more effectively probed through studies of tttt production in

¹⁹ boosted events or by requiring very large imbalances in momentum.

- Each top quark primarily decays to a bottom quark and a W boson, and each W boson decays to either leptons or quarks. As a result, the tttt final state contains jets mainly from the hadronization of light (u, d, s, c) quarks (light-flavor jets) and b quarks (b jets), and can also contain isolated charged leptons and missing transverse momentum arising from emitted neutrinos. Final states with either two same-sign leptons or at least three leptons, considering W $\rightarrow \ell \nu$ ($\ell = e \text{ or } \mu$) and including leptonic decays of τ leptons, correspond to a combined branching fraction of approximately 12% [22]. The relatively low levels of background make these
- $_{27}$ channels the most sensitive to t $\bar{t}t\bar{t}$ events produced with SM-like kinematic properties [23].
- Previous searches for $t\bar{t}t\bar{t}$ production in 13 TeV pp collisions were performed by the ATLAS [24,
- 29 25] and CMS [23, 26, 27] Collaborations. The most sensitive results, based on an integrated lu-
- $_{30}$ minosity of approximately 36 fb⁻¹ collected by each experiment, led to cross section measure-
- ments of 28.5^{+12}_{-11} fb with an observed (expected) significance of 2.8 (1.0) standard deviations by
- ATLAS [25], and 13^{+11}_{-9} fb with an observed (expected) significance of 1.4 (1.1) standard devia-
- tions by CMS [23], both consistent with the SM prediction.
- ³⁴ The analysis described in this paper improves upon the CMS search presented in Ref. [27], and
- ³⁵ supersedes the results, by taking advantage of upgrades to the CMS detector and by optimiz-
- ing the definitions of the signal regions for the integrated luminosity of 137 fb^{-1} . The reference
- ³⁷ cross section for SM t $\bar{t}t\bar{t}$, 12.0^{+2.2}_{-2.5} fb, used to determine the expected statistical significance of

the search, as well as in interpretations for which SM tttt is a background, includes NLO electroweak effects, in contrast to the 9.2^{+2.9}_{-2.4} fb [28] used in the previous search. In addition to the analysis strategy used in the previous search, a new multivariate classifier is defined to maximize the sensitivity to the SM tttt signal.

42 2 Background and signal simulation

Monte Carlo (MC) simulated samples at NLO are used to evaluate the signal acceptance for 43 the SM tttt process and to estimate the backgrounds from diboson (WZ, ZZ, Z γ , W[±]W[±]) 44 and triboson (WWW, WWZ, WZZ, ZZZ, WW γ , WZ γ) processes. Simulated samples gener-45 ated at NLO are also used to estimate backgrounds from associated production of single top 46 quarks and vector bosons (tWZ, tZq, t γ), or t \bar{t} produced in association with a single boson 47 $(t\bar{t}W, t\bar{t}Z, t\bar{t}H, t\bar{t}\gamma)$. Three separate sets of simulated events for each process are used in or-48 der to match the different data-taking conditions in 2016, 2017, and 2018. Most samples are 49 generated using the MADGRAPH5_aMC@NLO 2.2.2 (2.4.2) program [28] at NLO for 2016 sam-50 ples (2017 and 2018 samples) with up to at most two additional partons in the matrix element 51 calculations. For the WZ sample used with 2016 conditions, as well as all ZZ and $t\bar{t}H$ sam-52 ples, the POWHEG v2 [29, 30] program is used. The MADGRAPH5_AMC@NLO generator at LO 53 with up to three additional partons, scaled to NLO cross sections, is used to produce a subset 54 of samples for some of the data taking periods: $W\gamma$ (2016), $t\bar{t}\gamma$ (2017 and 2018), tZq (2018), 55 and t γ (2018) [28]. Other rare backgrounds, such as t \overline{t} production in association with dibosons 56 (ttWW, ttWZ, ttZZ, ttWH, ttZW, ttHH) and triple top quark production (ttt, tttW), are gen-57 erated using LO MADGRAPH5_AMC@NLO without additional partons, and scaled to NLO cross 58 sections [31]. 59 The top quark associated production modes for a heavy scalar (H) or pseudoscalar (A) in the 60

mass range of [350, 650] GeV, ttH/A, tqH/A, and tWH/A, with subsequent decays of H/A 61 into a pair of top quarks, are generated using LO MADGRAPH5_aMC@NLO, with one additional 62 parton for all but the tqH/A production mode. In the context of type-II 2HDM, these samples 63 are scaled to LO cross sections obtained with MADGRAPH5_aMC@NLO model, "2HDMtII" [32, 64 33]. For the choice $\tan \beta = 1$ in the alignment limit [34], where $\tan \beta$ represents the ratio of 65 vacuum expectation values of the two Higgs doublets, these cross sections reproduce those of 66 Ref. [6], which were also used in the previous CMS result [27]. In the context of simplified 67 models of dark matter, these samples are scaled to LO cross sections obtained with the model 68 used in Ref. [35], which includes kinematically accessible decays of the mediator into a pair 69 of top quarks. The processes are simulated in the narrow-width approximation, suitable for 70 the parameter space studied here, in which the width of the mediator is 5% of its mass or less. 71 Samples and cross sections used for constraining the modified Higgs boson propagator are 72 generated using MADGRAPH5_aMC@NLO at LO, matching the prescription of Ref. [11]. Cross 73 sections used for SM tttt enhanced by scalar and vector off-shell diagrams are obtained at LO 74 from Ref. [9]. 75

The NNPDF3.0LO (NNPDF3.0NLO) [36] parton distribution functions (PDFs) are used to gen-76 erate all LO (NLO) 2016 samples, while NNPDF3.1 next-to-next-to-leading order [37] is used 77 for 2017 and 2018 samples. Parton showering and hadronization, as well as $W^{\pm}W^{\pm}$ produc-78 tion from double-parton scattering, are modeled by the PYTHIA 8.205 [38] program for 2016 79 samples and PYTHIA 8.230 [39] for 2017 and 2018 samples, while the MLM [40] and FxFx [41] 80 prescriptions are employed in matching additional partons from the matrix element calcula-81 tions to those from parton showers for the LO and NLO samples, respectively. The underlying 82 event modeling uses the CUETP8M1 tune [42, 43] for 2016, and CP5 [44] for 2017 and 2018 data 83

sets, respectively. The top quark mass in the Monte Carlo programs is set to 172.5 GeV. The
GEANT4 package [45] is used to model the response of the CMS detector. Additional pp interactions (pileup) within the same or nearby bunch crossings are also included in the simulated
events.

3 The CMS detector and event reconstruction

The central feature of the CMS detector is a superconducting solenoid of 6 m internal diameter, 89 providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon pixel and strip 90 tracker, a lead tungstate crystal electromagnetic calorimeter (ECAL), and a brass and scintilla-91 tor hadron calorimeter (HCAL), each composed of a barrel and two endcap sections. Forward 92 calorimeters extend the pseudorapidity (η) coverage provided by the barrel and endcap detec-93 tors. Muons are detected in gas-ionization chambers embedded in the steel flux-return yoke 94 outside the solenoid. A more detailed description of the CMS detector, together with a defini-95 tion of the coordinate system used and the relevant variables, can be found in Ref. [46]. 96 Events of interest are selected using a two-tiered trigger system [47]. The first level, composed 97

of custom hardware processors, uses information from the calorimeters and muon detectors to select events at a rate of around 100 kHz within a time interval of less than 4 μ s. The second

loo level, known as the high-level trigger, consists of a farm of processors running a version of the

full event reconstruction software optimized for fast processing, and reduces the event rate to around 1 kHz before data storage.

The reconstructed vertex with the largest value of summed physics-object squared-transversemomentum is taken to be the primary pp interaction vertex. The physics objects are the jets, clustered using the jet finding algorithm [48, 49] with the tracks assigned to the vertex as inputs, and the associated missing transverse momentum, taken as the negative vector sum of the transverse momentum (p_T) of those jets.

The particle-flow algorithm [50] aims to reconstruct and identify each individual particle in an 108 event, with an optimized combination of information from the various elements of the CMS de-109 tector. The energy of photons is directly obtained from the ECAL measurement. The energy of 110 electrons is determined from a combination of the electron momentum at the primary interac-111 tion vertex as determined by the tracker, the energy of the corresponding ECAL cluster, and the 112 energy sum of all bremsstrahlung photons spatially compatible with the electron track [51]. The 113 momentum of muons is obtained from the curvature of the corresponding track, combining in-114 formation from the silicon tracker and the muon system [52]. The energy of charged hadrons 115 is determined from a combination of their momentum measured in the tracker and the match-116 ing ECAL and HCAL energy deposits, corrected for the response function of the calorimeters to 117 hadronic showers. The energy of neutral hadrons is obtained from the corresponding corrected 118 ECAL and HCAL energies. 119

Hadronic jets are clustered from neutral PF candidates and charged PF candidates associated 120 with the primary vertex, using the anti- $k_{\rm T}$ algorithm [48, 49] with a distance parameter of 0.4. 121 The jet momentum is determined as the vectorial sum of all PF candidate momenta in the 122 jet. An offset correction is applied to jet energies to take into account the contribution from 123 pileup [53]. Jet energy corrections are derived from simulation and are improved with in situ 124 measurements of the energy balance in dijet, multijet, γ +jet, and leptonically decaying Z+jet 125 events [54, 55]. Additional selection criteria are applied to each jet to remove jets potentially 126 affected by instrumental effects or reconstruction failures [56]. Jets originating from b quarks 127 are identified as b-tagged jets using a deep neural network algorithm, DeepCSV [57], with a 128

working point chosen such that the efficiency to identify a b jet is 55–70% for a jet p_T between 20 and 400 GeV. The misidentification rate is approximately 1–2% for light-flavor and gluon jets and 10–15% for charm jets, in the same jet p_T range. The vector \vec{p}_T^{miss} is defined as the projection on the plane perpendicular to the beams of the negative vector sum of the momenta of all reconstructed PF candidates in an event [58]. Its magnitude, called missing transverse momentum, is referred to as p_T^{miss} .

4 Event selection and search strategy

The identification, isolation, and impact parameter requirement with respect to the primary 136 vertex, imposed on electrons and muons are the same as those of Ref. [27] when analyzing the 137 2016 data set, while for the 2017 and 2018 data sets the identification of electrons and the iso-138 lation of both electrons and muons are modified to take into account the increased pileup. For 139 electrons, identification is based on a multivariate discriminant using shower shape and track 140 quality variables, while muon identification is based on the quality of the geometrical matching 141 between measurements in the tracker and the muon system. The isolation requirement, intro-142 duced in Ref. [59], is designed to distinguish the charged leptons produced in W and Z decays 143 ("prompt leptons") from the leptons produced in hadron decays or in conversions of photons 144 in jets, as well as hadrons misidentified as leptons (collectively defined as "nonprompt lep-145 tons"). The requirements to minimize charge misassignment are the same as in Ref. [27]: muon 146 tracks are required to have a small uncertainty in $p_{\rm T}$ and electron tracks are required to have 147 the same charge as that obtained from comparing a linear projection of the pixel detector hits 148 to the position of the calorimeter deposit. The combined efficiency to reconstruct and identify 149 leptons is in the range of 45–80 (70–90)% for electrons (muons), increasing as a function of $p_{\rm T}$ 150 and reaching the maximum value for $p_{\rm T} > 60 \,{\rm GeV}$. 151

For the purpose of counting leptons and jets, the following requirements are applied: the num-152 ber of leptons (N_ℓ) is defined to be the multiplicity of electrons and muons with $p_T > 20 \text{ GeV}$ 153 and either $|\eta| < 2.5$ (electrons) or $|\eta| < 2.4$ (muons), the number of jets (N_{jets}) counts all jets 154 with $p_{\rm T} > 40$ GeV and $|\eta| < 2.4$, and the number of b-tagged jets ($N_{\rm b}$) counts b-tagged jets with 155 $p_{\rm T}$ > 25 GeV and $|\eta|$ < 2.4. In order to be included in $N_{\rm jets}$, $N_{\rm b}$, and the $H_{\rm T}$ variable, which is 156 defined as the scalar $p_{\rm T}$ sum of all jets in an event, jets and b-tagged jets must have an angular 157 separation $\Delta R > 0.4$ with respect to all selected leptons. This angular separation is defined as 158 $\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2}$, where $\Delta \eta$ and $\Delta \phi$ are the differences in pseudorapidity and azimuthal 159 angle, respectively, between the directions of the lepton and the jet. 160

Events were recorded using either a dilepton+ H_T (2016) or a set of dilepton triggers (2017) 161 and 2018). The dilepton+ $H_{\rm T}$ trigger requires two leptons with $p_{\rm T} > 8 \,{\rm GeV}$ and a minimum 162 $H_{\rm T}$ requirement that is fully efficient with respect to the offline requirement of 300 GeV. The 163 dilepton triggers require either two muons with $p_T > 17$ and 8 GeV, two electrons with $p_T > 23$ 164 and 12 GeV, or an e μ pair with $p_{\rm T}$ > 23 GeV for the higher- $p_{\rm T}$ (leading) lepton and $p_{\rm T}$ > 165 12 (8) GeV for the lower- $p_{\rm T}$ (trailing) electron (muon). The trigger efficiency within the detector 166 acceptance is measured in data to be greater than 90% for ee, $e\mu$, and $\mu\mu$ events, and nearly 167 100% for events with at least three leptons. 168

We define a baseline selection that requires $H_T > 300 \text{ GeV}$ and $p_T^{\text{miss}} > 50 \text{ GeV}$, two or more jets ($N_{\text{jets}} \ge 2$) and b-tagged jets ($N_b \ge 2$), a leading lepton with $p_T > 25 \text{ GeV}$, and a trailing lepton of the same charge with $p_T > 20 \text{ GeV}$. Events with same-sign electron pairs with an invariant mass below 12 GeV are rejected to reduce the background from production of lowmass resonances with a charge-misidentified electron. Events where a third lepton with $p_T > 7$

5. Backgrounds

(5) GeV for electrons (muons) forms an opposite-sign (OS) same-flavor pair with an invariant mass below 12 GeV or between 76 and 106 GeV are also rejected. Inverting this resonance veto, the latter events are used to populate a t $\bar{t}Z$ background control region (CRZ) if the invariant mass is between 76 and 106 GeV and the third lepton has $p_T > 20$ GeV. After this baseline selection, the signal acceptance is approximately 1.5%, including branching fractions.

Events passing the baseline selection are split into several signal and control regions, follow-179 ing two independent approaches. In the first analysis, similarly to Ref. [27] and referred to as 180 "cut-based", the variables N_{jets} , N_{b} , and N_{ℓ} are used to subdivide events into 14 mutually ex-181 clusive signal regions (SRs) and a control region (CR) enriched in tTW background (CRW), to 182 complement the CRZ defined above, as detailed in Table 1. In the boosted decision tree (BDT) 183 analysis, the CRZ is the only control region, and the remaining events are subdivided into 17 184 SRs by discretizing the discriminant output of a BDT trained to separate tttt events from the 185 sum of the SM backgrounds. 186

The BDT classifier utilizes a gradient boosting algorithm to train 500 trees with a depth of 4 187 using simulation, and is based on the following 19 variables: N_{iets} , N_{b} , N_{ℓ} , $p_{\text{T}}^{\text{miss}}$, H_{T} , two alter-188 native definitions of N_b based on b tagging working points tighter or looser than the default 189 one, the scalar $p_{\rm T}$ sum of b-tagged jets, the $p_{\rm T}$ of the three leading leptons, of the leading jet 190 and of the sixth, seventh, and eighth jets, the azimuthal angle between the two leading leptons, 191 the invariant mass formed by the leading lepton and the leading jet, the charge of the lead-192 ing lepton, and the highest ratio of the jet mass to the jet $p_{\rm T}$ in the event. Top quark tagging 193 algorithms to identify hadronically decaying top quarks based on invariant masses of jet com-194 binations, similarly to Ref. [23], were also tested, but did not improve the expected sensitivity. 195 Such algorithms could only contribute in the handful of events where all the top quark decay 196 products were found, and these events already have very small background yields. In each 197 analysis, the observed and predicted yields in the CRs and SRs are used in a maximum likeli-198 hood fit with nuisance parameters to measure $\sigma(pp \rightarrow t\bar{t}t\bar{t})$, following the procedure described 199 in Section 7. 200

201 5 Backgrounds

In addition to the $t\bar{t}t\bar{t}$ signal, several other SM processes result in final states with same-sign dileptons or at least three leptons, and several jets and b jets. These backgrounds primarily consist of processes where $t\bar{t}$ is produced in association with additional bosons that decay to leptons, such as $t\bar{t}W$, $t\bar{t}Z$, and $t\bar{t}H$ (mainly in the H \rightarrow WW channel), as well as dilepton $t\bar{t}$ events with a charge-misidentified prompt-lepton and single-lepton $t\bar{t}$ events with an additional nonprompt lepton.

The prompt-lepton backgrounds, dominated by $t\bar{t}W$, $t\bar{t}Z$, and $t\bar{t}H$, are estimated using simulated events. Dedicated CRs are used to constrain the normalization for $t\bar{t}W$ (cut-based analysis) and $t\bar{t}Z$ (cut-based and BDT analyses), while for other processes described in the next paragraph, the normalization is based on the NLO cross sections referenced in Section 2.

²¹² Processes with minor contributions are grouped into three categories. The " $t\bar{t}VV$ " category ²¹³ includes the associated production of $t\bar{t}$ with a pair of bosons (W, Z, H), dominated by $t\bar{t}WW$. ²¹⁴ The " $X\gamma$ " category includes processes where a photon accompanies a vector boson or a top ²¹⁵ quark. The photon undergoes a conversion, resulting in the identification of an electron in the ²¹⁶ final state. The category is dominated by $t\bar{t}\gamma$, with smaller contributions from $W\gamma$, $Z\gamma$, and ²¹⁷ $t\gamma$. Finally, the "Rare" category includes all residual processes with top quarks (tZq, tWZ, $t\bar{t}t$, ²¹⁸ and $t\bar{t}tW$) or without them (WZ, ZZ, $W^{\pm}W^{\pm}$ from single- and double-parton scattering, and

N_ℓ	N _b	N _{jets}	Region	
		≤5	CRW	
	2	6	SR1	
	2	7	SR2	
•		≥ 8	SR3	
2		5	SR4	
	2	6	SR5	
	5	7	SR6	
		≥ 8	SR7	
	≥ 4	≥ 5	SR8	
		5	SR9	
	2	6	SR10	
≥ 3		≥ 7	SR11	
		4	SR12	
	≥ 3	5	SR13	
		≥ 6	SR14	
Inverted resonance veto CRZ				

Table 1: Definition of the 14 SRs and two CRs for the cut-based analysis.

²¹⁹ triboson production).

Since the $t\bar{t}W$, $t\bar{t}Z$, and $t\bar{t}H$ processes constitute the largest backgrounds to $t\bar{t}t\bar{t}$ production, 220 their simulated samples are corrected wherever possible to account for discrepancies observed 221 between data and MC simulation. To improve the MC modeling of the additional jet multi-222 plicity from initial-state radiation (ISR) and final-state radiation (FSR), simulated $t\bar{t}W$ and $t\bar{t}Z$ 223 events are reweighted based on the number of ISR or FSR jets ($N_{\text{jets}}^{\text{ISR/FSR}}$). The reweighting is 224 based on a comparison of the light-flavor jet multiplicity in dilepton tt events in data and simu-225 lation, where the simulation is performed with the same generator settings as those of the ttW 226 and $t\bar{t}Z$ samples. The method requires exactly two jets identified as originating from b quarks 227 in the event and assumes that all other jets are from ISR or FSR. The $N_{jets}^{ISR/FSR}$ reweighting fac-228 tors vary between 1.46 and 0.77 for $N_{\text{jets}}^{\text{ISR/FSR}}$ between 1 and 4. This correction is not applied to 229 $t\bar{t}H (H \rightarrow WW)$ events, which already have additional jets from the decay of the additional W 230 bosons. In addition to the ISR or FSR correction, the tTV, tTZ, and tTH simulation is corrected 231 to improve the modeling of the flavor of additional jets, based on the measured ratio of the 232 ttbb and ttjj cross sections, 1.7 ± 0.6 , reported in Ref. [60], where j represents a generic jet. This 233 correction results in a 70% increase of events produced in association with a pair of additional 234 b jets. 235

The nonprompt lepton backgrounds are estimated using the "tight-to-loose" ratio method [59]. 236 The tight identification (for electrons) and isolation (for both electrons and muons) require-237 ments of the SRs are relaxed to define a loose lepton selection, enriched in nonprompt leptons. 238 The efficiency, ϵ_{TL} , for nonprompt leptons that satisfy the loose selection to also satisfy the 239 tight selection is measured in a control sample of single-lepton events, as a function of lepton 240 flavor, $p_{\rm T}$, and $|\eta|$, after subtracting the prompt-lepton contamination based on simulation. For 24 leptons failing the tight selection, the $p_{\rm T}$ variable is redefined as the sum of the lepton $p_{\rm T}$ and 242 the energy in the isolation cone exceeding the isolation threshold value. This parametrization 243 accounts for the momentum spectrum of the parent parton (the parton that produced the non-244

prompt lepton), allowing the same ϵ_{TL} to be applied to samples with different parent parton momenta with reduced bias. To estimate the number of nonprompt leptons in each SR, a dedicated set of application regions is defined, requiring at least one lepton to fail the tight selection while satisfying the loose one (loose-not-tight). Events in these regions are then weighted by a factor of $\epsilon_{TL}/(1 - \epsilon_{TL})$ for each loose-not-tight lepton. To avoid double counting the contribution of events with multiple nonprompt leptons, events with two loose-not-tight leptons are subtracted, and the resulting total weight is used as a prediction of the nonprompt lepton yield.

The background resulting from charge-misidentified leptons is estimated using the charge-252 misidentification probability measured in simulation as a function of electron $p_{\rm T}$ and $|\eta|$. This 253 probability ranges between 10^{-5} and 10^{-3} for electrons and is at least an order of magnitude 254 smaller for muons. Charge-misidentified muons are therefore considered negligible, while for 255 electrons this probability is applied to a CR of OS dilepton events defined for each same-sign 256 dilepton SR. A single correction factor, inclusive in $p_{\rm T}$ and $|\eta|$, is applied to the resulting es-257 timate to account for differences between data and simulation in this probability. A correc-258 tion factor, derived from a control sample enriched in $Z \rightarrow e^+e^-$ events with one electron or 259 positron having a misidentified charge, is very close to unity for the 2016 simulation, while it 260 is approximately 1.4 for the 2017 and 2018 simulation. Even with the larger correction factors, 261 the charge-misidentification probability is smaller in 2017 and 2018 than in 2016, due to the 262 upgraded pixel detector [61]. 263

264 6 Uncertainties

Several sources of experimental and theoretical uncertainty related to signal and background processes are considered in this analysis. They are summarized, along with their estimated correlation treatment across the 2016, 2017, and 2018 data sets, in Table 2. Most sources of uncertainties affect simulated samples, while the backgrounds obtained using control samples in data (charge-misidentified and nonprompt leptons) have individual uncertainties described at the end of this section.

The uncertainties in the integrated luminosity are 2.5, 2.3, and 2.5% for the 2016, 2017, and 2018 data collection periods, respectively [62–64]. Simulated events are reweighted to match the distribution of the number of pileup collisions per event in data. This distribution is derived from the instantaneous luminosity and the inelastic cross section [65], and uncertainties in the latter are propagated to the final yields, resulting in yield variations of at most 5%.

The efficiency of the trigger requirements is measured in an independent data sample selected 276 using single-lepton triggers, with an uncertainty of 2%. The lepton reconstruction and identifi-277 cation efficiency is measured using a data sample enriched in $Z \rightarrow \ell \ell$ events [51, 52], with un-278 certainties of up to 5 (3)% per electron (muon). The tagging efficiencies for b jets and light-flavor 279 jets are measured in dedicated data samples [57], and their uncertainties result in variations be-280 tween 1 and 15% of the signal region yields. In all cases, simulated events are reweighted to 281 match the efficiencies measured in data. The uncertainty associated with jet energy corrections 282 results in yield variations of 1–15% across SRs. Uncertainties in the jet energy resolution result 283 in 1–10% variations [54]. 284

As discussed in Section 5, we correct the distribution of the number of additional jets in $t\bar{t}W$ and $t\bar{t}Z$ samples, with reweighting factors varying between 1.46 and 0.77 for $N_{jets}^{ISR/FSR} \ge 4$. We take one half of the differences from unity as the systematic uncertainties in these factors, since they are measured in a $t\bar{t}$ sample, but are applied to different processes. These uncertainties result in yield variations up to 8% across SRs. Similarly, events with additional b quarks in Table 2: Summary of the sources of uncertainty, their values, and their impact, defined as the relative change of the measurement of $\sigma(t\bar{t}t\bar{t})$ induced by one-standard-deviation variations corresponding to each uncertainty source considered separately. The first group lists experimental and theoretical uncertainties in simulated signal and background processes. The second group lists normalization uncertainties in the estimated backgrounds. Uncertainties marked (not marked) with a \dagger in the first column are treated as fully correlated (fully uncorrelated) across the three years of data taking.

2	0			
		/	Impact on	
	Source	Uncertainty (%)	$\sigma(t\bar{t}t\bar{t})$ (%)	
	Integrated luminosity	2.3-2.5	2	$\langle \rangle$
	Pileup	0–5	1	
	Trigger efficiency	2–7	2	
	Lepton selection	2–10	2	
	Jet energy scale	1–15	9	
	Jet energy resolution	1–10	6	
	b tagging	1–15	6	
	Size of simulated sample	1–25	<1	
	Scale and PDF variations †	10–15	2	
	ISR/FSR (signal) †	5–15	2	
			-	
	ttH (normalization) T	25	5	
	Rare, $X\gamma$, tt VV (norm.) †	> 11–20	<1	
	tīZ, tīW (norm.) †	40	3–4	
	Charge misidentification †	20	<1	
	Nonprompt leptons †	30-60	3	
$\langle \rangle$	N ^{ISR/FSR}	1–30	2	
	$\sigma(t\bar{t}b\bar{b})/\sigma(t\bar{t}jj)$ +	35	11	

t̄tW, t̄tZ, and t̄tH are scaled by a factor of 1.7 ± 0.6 , based on the CMS measurement of the ratio of cross sections $\sigma(t\bar{t}b\bar{b})/\sigma(t\bar{t}jj)$ [60]. The resulting uncertainty in the yields for SRs with $N_b \ge 4$, where the effect is dominant, is up to 15%.

For background processes, uncertainties in the normalization (number of events passing the 293 baseline selection) and shape (distribution of events across SRs) are considered, while for sig-294 nal processes, the normalization is unconstrained, and instead, we consider the uncertainty in 295 the acceptance (fraction of events passing the baseline selection) and shape. For each of the 296 Rare, $X\gamma$, and ttVV categories, normalization uncertainties are taken from the largest theoret-297 ical cross section uncertainty in any constituent physics process, resulting in uncertainties of 298 20, 11, and 11%, respectively. For the t $\bar{t}W$ and t $\bar{t}Z$ processes, we set an initial normalization 299 uncertainty of 40%, but then allow the maximum-likelihood fit to constrain these backgrounds 300 further using control samples in data. For ttH, we assign a 25% normalization uncertainty to 301 reflect the signal strength, which is the ratio between the measured cross section of ttH and its 302 SM expectation, of $1.26^{+0.31}_{-0.26}$ measured by CMS [66]. 303

The shape uncertainty resulting from variations of the renormalization and factorization scales 304 in the event generators is smaller than 15% for backgrounds, and 10% for the $t\bar{t}t\bar{t}$ and 2HDM 305 signals, while the effect of the PDFs is only 1%. For the tttt and 2HDM signals, the uncer-306 tainty in the acceptance from variations of the scales is 2%. The uncertainty in the scales that 307 determine ISR and FSR, derived from tttt samples, results in up to 6 and 10% uncertainties 308 in signal acceptance and shape, respectively. When considering tttt as a background in BSM 309 interpretations, a cross section uncertainty of 20% (based on the prediction of $12.0^{+2.2}_{-2.5}$ fb [1]) is 310 additionally applied to the $t\bar{t}t\bar{t}$ process. 311

The charge-misidentified and nonprompt-lepton backgrounds are assigned an uncertainty of 312 20 and 30%, respectively, where the latter is increased to 60% for nonprompt electrons with 313 $p_{\rm T}$ > 50 GeV. For the charge-misidentified lepton background, the uncertainty is based on 314 the agreement observed between the prediction and data as a function of kinematic distribu-315 tions, in a data sample enriched in $Z \rightarrow e^+e^-$ events with one electron or positron having a 316 misidentified charge. For the nonprompt-lepton background, the uncertainty is based on the 317 agreement observed in simulation closure tests of the "tight-to-loose" method using multijet, 318 $t\bar{t}$, and W+jets samples. The contamination of prompt leptons, which is subtracted based on 319 simulation, is below 1% in the application region, but it can be significant in the control sample 320 where ϵ_{TL} is measured, resulting in an uncertainty up to 50% in ϵ_{TL} . The statistical uncertainty 321 in the estimate based on control samples in data is taken into account for both backgrounds. It 322 is negligible for the charge-misidentified lepton background, while for the nonprompt-lepton 323 background it can be comparable or larger than the systematic uncertainty. 324

Experimental uncertainties in normalization and shape are treated as fully correlated among 325 the SRs for all signal and background processes. Two choices of correlation across years (un-326 correlated or fully correlated) were tested for each experimental uncertainty, and their impact 327 on the measurement of $\sigma(t\bar{t}t\bar{t})$ was found to be smaller than 1%. For simplicity, these uncer-328 tainties are then treated as uncorrelated. Systematic uncertainties in the background estimates 329 330 based on control samples in data and theoretical uncertainties in the normalization of each background process are treated as uncorrelated between processes but fully correlated among 33the SRs and across the three years. Scale and PDF uncertainties, as well as uncertainties in the 332 number of additional b quarks, are correlated between processes, signal regions, and years. 333 Statistical uncertainties due to the finite number of simulated events or control region events 334 are considered uncorrelated. 335

336 7 Results

Distributions of the main kinematic variables (N_{jets} , N_{b} , H_{T} , and $p_{\text{T}}^{\text{miss}}$) for events in the baseline region, as defined in Section 4, are shown in Fig. 2 and compared to the SM background predictions. The N_{jets} and N_{b} distributions for the CRW and CRZ are shown in Fig. 3. The expected SM tttt signal, normalized to its predicted cross section, is shown in both figures. The SM predictions are statistically consistent with the observations.

A binned likelihood is constructed using the yields from the signal regions, the CRZ, as well 342 as the CRW for the cut-based analysis only, incorporating the experimental and theoretical 343 uncertainties described in Section 6 as "nuisance" parameters. The measured cross section 344 for tttt and the significance of the observation relative to the background-only hypothesis are 345 obtained from a profile maximum-likelihood fit, in which the parameter of interest is $\sigma(pp \rightarrow \sigma)$ 346 tttt) and all nuisance parameters are profiled, following the procedures described in Refs. [22, 347 67]. In addition, an upper limit at 95% confidence level (CL) is set on $\sigma(pp \rightarrow ttt)$ using 348 the modified frequentist CL_s criterion [68, 69], with the profile likelihood ratio test statistic 349 and asymptotic approximation [70]. Alternatively, by considering the SM, including the tttt 350 process with the SM cross section and uncertainty [1], as the null hypothesis, the fit provides 351 cross section upper limits on BSM processes with new scalar and pseudoscalar particles, as 352 discussed in Section 8. 353

The values and uncertainties of most nuisance parameters are unchanged by the fit, but the 354 ones significantly affected include those corresponding to the $t\bar{t}W$ and $t\bar{t}Z$ normalizations, 355 which are both scaled by 1.3 ± 0.2 by the fit, in agreement with the ATLAS and CMS mea-356 surements of these processes [71–73]. Similarly, the ttH normalization parameter is scaled 357 by 1.1 ± 0.3 , consistent with recent measurements [66, 74]. The predicted yields after the 358 maximum-likelihood fit (post-fit) are compared to data in Fig. 4 for the cut-based (upper) and 359 BDT (lower) analyses, where the fitted tttt signal contribution is added to the background pre-360 dictions. The corresponding yields are shown in Tables 3 and 4 for the cut-based and BDT 361 analysis, respectively. 362

The tttt cross section and the 68% CL interval is measured to be $9.4^{+6.2}_{-5.6}$ fb in the cut-based 363 analysis, and $12.6^{+5.8}_{-5.2}$ fb in the BDT analysis. Relative to the background-only hypothesis, the 364 observed and expected significances are 1.7 and 2.5 standard deviations, respectively, for the 365 cut-based analysis, and 2.6 and 2.7 standard deviations for the BDT analysis. The observed 95% 366 CL upper limits on the cross section are 20.0 fb in the cut-based and 22.5 fb in the BDT analyses. 367 The corresponding expected upper limits on the tttt cross section, assuming no SM tttt con-368 tribution to the data, are $9.4^{+4.3}_{-2.9}$ fb (cut-based) and $8.5^{+3.9}_{-2.6}$ fb (BDT), a significant improvement 369 relative to the value of $20.8^{+11.2}_{-6.9}$ fb of Ref. [27]. We consider the BDT analysis as the primary 370 result of this paper, as it provides a higher expected measurement precision, and use the results 371 from it for further interpretations in the following section. 372

373 8 Interpretations

This analysis is used to constrain SM parameters, as well as production of BSM particles and operators that can affect the t $\bar{t}t\bar{t}$ production rate. The existence of t $\bar{t}t\bar{t}$ Feynman diagrams with virtual Higgs bosons allows interpreting the upper limit on $\sigma(pp \rightarrow t\bar{t}t\bar{t})$ as a constraint on the Yukawa coupling, y_t , between the top quark and the Higgs boson [2, 3]. Similarly, the measurement can be interpreted as a constraint on the Higgs boson oblique parameter \hat{H} , defined as the Wilson coefficient of the dimension-six BSM operator modifying the Higgs boson propagator [11]. More generically, Feynman diagrams where the virtual Higgs boson is



Figure 2: Distributions of N_{jets} (upper left), N_b (upper right), H_T (lower left), and p_T^{miss} (lower right) in the summed SRs (1–14), before fitting to data, where the last bins include the overflows. The hatched areas represent the total uncertainties in the SM signal and background predictions. The tttt signal assumes the SM cross section from Ref. [1]. The lower panels show the ratios of the observed event yield to the total prediction of signal plus background.



Figure 3: Distributions of N_{jets} (left) and N_{b} (right) in the t $\bar{t}W$ (upper) and t $\bar{t}Z$ (lower) CRs, before fitting to data. The hatched areas represent the uncertainties in the SM signal and background predictions. The t $\bar{t}t\bar{t}$ signal assumes the SM cross section from Ref. [1]. The lower panels show the ratios of the observed event yield to the total prediction of signal plus background.



Figure 4: Observed yields in the control and signal regions for the cut-based (upper) and BDT (lower) analyses, compared to the post-fit predictions for signal and background processes. The hatched areas represent the total post-fit uncertainties in the signal and background predictions. The lower panels show the ratios of the observed event yield to the total prediction of signal plus background.

Table 3: The post-fit predicted background, tttt signal, and total yields with their total uncertainties and the observed number of events in the control and signal regions in data for the cut-based analysis.

	SM background	ttt	Total	Observed
CRZ	101 ± 10	0.83 ± 0.49	102 ± 10	104
CRW	331 ± 19	3.9 ± 2.3	335 ± 18	338
SR1	25.6 ± 2.1	2.0 ± 1.2	27.6 ± 2.1	33
SR2	9.1 ± 1.3	1.13 ± 0.65	10.3 ± 1.3	9
SR3	2.01 ± 0.58	0.73 ± 0.42	2.74 ± 0.67	3
SR4	11.3 ± 1.3	1.58 ± 0.90	12.9 ± 1.3	14
SR5	5.03 ± 0.77	1.68 ± 0.95	6.7 ± 1.1	5
SR6	2.29 ± 0.40	1.20 ± 0.67	3.48 ± 0.66	8
SR7	0.71 ± 0.20	0.88 ± 0.48	1.59 ± 0.49	0
SR8	3.31 ± 0.95	2.2 ± 1.3	5.5 ± 1.3	5
SR9	6.84 ± 0.80	0.71 ± 0.39	7.55 ± 0.80	6
SR10	2.10 ± 0.31	0.35 ± 0.22	2.45 ± 0.35	3
SR11	1.38 ± 0.75	0.23 ± 0.14	1.61 ± 0.75	1
SR12	2.03 ± 0.48	0.59 ± 0.34	2.62 ± 0.54	2
SR13	1.09 ± 0.28	0.69 ± 0.39	1.78 ± 0.44	2
SR14	0.87 ± 0.30	0.80 ± 0.45	1.67 ± 0.52	1

replaced by a virtual BSM scalar (ϕ) or vector (Z') particle with mass smaller than twice the top quark mass ($m < 2m_t$), are used to interpret the result as a constraint on the couplings of such new particles [9]. In addition, new particles with $m > 2m_t$, such as a heavy scalar (H) or pseudoscalar (A), can be produced on-shell in association with top quarks. They can subsequently decay into top quark pairs, generating final states with three or four top quarks. Constraints on the production of such heavy particles can be interpreted in terms of 2HDM parameters [4–6], or in the framework of simplified models of dark matter [7, 8].

When using our tttt to determine a constraint on y_t , we verified using a LO simulation that 388 the signal acceptance is not affected by the relative contribution of the virtual Higgs boson 389 Feynman diagrams. We take into account the dependence of the backgrounds on y_t by scaling 390 the tt H cross section by $|y_t/y_t^{SM}|^2$ prior to the fit, where y_t^{SM} represents the SM value of the top 391 quark Yukawa coupling. As a result of the t $\bar{t}H$ background rescaling, the measured $\sigma(pp \rightarrow \sigma)$ 392 $t\bar{t}t\bar{t}$) depends on $|y_t/y_t^{SM}|$, as shown in Fig. 5. The measurement is compared to the theoretical 393 prediction obtained from the LO calculation of Ref. [2], scaled to the $12.0^{+2.2}_{-2.5}$ fb cross section 394 obtained in Ref. [1], and including the uncertainty associated with doubling and halving the 395 renormalization and factorization scales. Comparing the observed limit on $\sigma(pp \rightarrow t\bar{t}t\bar{t})$ with 396 the central, upper, and lower values of its theoretical prediction, we obtain 95% CL limits of 397 $|y_t/y_t^{SM}| < 1.7, 1.4$, and 2.0, respectively, an improvement over the previous CMS result [27]. 398 Alternatively, assuming that the on-shell Yukawa coupling is equal to that of the SM, we do 399 not rescale the tTH background with respect to its SM prediction, and obtain corresponding 400 limits on the off-shell Yukawa coupling of $|y_t/y_t^{\rm SM}| < 1.8$, 1.5, and 2.1. Since y_t affects the 401 Higgs boson production cross section in both the gluon fusion and $t\bar{t}H$ modes, constraints on 402 $y_{\rm t}$ can also be obtained from a combination of Higgs boson measurements [75]. However, these 403 constraints require assumptions about the total width of the Higgs boson, while the $t\bar{t}t\bar{t}$ -based 404 limit does not. For the \hat{H} interpretation, the BDT analysis is repeated using simulated samples 405 of $t\bar{t}t\bar{t}$ signal events with different values of \hat{H} to account for small acceptance and kinematic 406

8. Interpretations

Table 4:	The j	post-fit	predicted	backgrou	nd and	tttt	signal,	and	total	yields	with	their	total
uncertaiı	nties a	and the	observed	number o	f event	s in t	he cont	rol ar	nd sig	nal reg	gions i	n dat	a for
the BDT	analy	vsis.											
						-							

	SM background	tttt	Total	Observed
CRZ	102 ± 12	1.11 ± 0.43	103 ± 12	104
SR1	3.95 ± 0.96	< 0.01	3.96 ± 0.96	4
SR2	14.2 ± 1.8	0.01 ± 0.01	14.2 ± 1.8	19
SR3	25.5 ± 3.5	0.04 ± 0.03	25.6 ± 3.5	19
SR4	34.0 ± 4.0	0.08 ± 0.05	34.0 ± 4.0	33
SR5	36.7 ± 4.0	0.15 ± 0.07	36.8 ± 4.0	36
SR6	39.8 ± 4.2	0.23 ± 0.12	40.0 ± 4.2	44
SR7	40.3 ± 3.7	0.31 ± 0.16	40.6 ± 3.8	41
SR8	47.3 ± 4.3	0.72 ± 0.28	48.0 ± 4.3	46
SR9	58.5 ± 5.2	1.18 ± 0.46	59.7 ± 5.2	48
SR10	52.1 ± 4.3	1.91 ± 0.74	54.1 ± 4.2	61
SR11	43.0 ± 3.5	3.0 ± 1.2	46.0 ± 3.5	62
SR12	32.1 ± 3.0	3.7 ± 1.4	35.8 ± 2.9	40
SR13	16.7 ± 1.6	4.3 ± 1.6	21.0 ± 2.0	15
SR14	10.1 ± 1.2	4.2 ± 1.6	14.3 ± 1.8	16
SR15	5.03 ± 0.77	4.1 ± 1.5	9.1 ± 1.6	4
SR16	2.49 ± 0.61	3.4 ± 1.3	5.9 ± 1.3	7
SR17	0.57 ± 0.36	1.08 ± 0.42	1.65 ± 0.50	3

differences, as described in Section 2. We rescale the tTH cross section by $(1 - \hat{H})^2$ to account for its \hat{H} dependency [11]. This results in the 95% CL upper limit of $\hat{H} < 0.12$. For reference, the authors of Ref. [11] used recent LHC on-shell Higgs boson measurements to set a constraint of $\hat{H} < 0.16$ at 95% CL.

To study the off-shell effect of new particles with $m < 2m_t$, we first consider neutral scalar (ϕ) 411 and neutral vector (Z') particles that couple to top quarks. Such particles are at present only 412 weakly constrained, while they can give significant contributions to the tttt cross section [9]. 413 Having verified in LO simulation that these new particles affect the signal acceptance by less 414 than 10%, we recalculate the $\sigma(pp \rightarrow t\bar{t}t\bar{t})$ upper limit of the BDT analysis including an addi-415 tional 10% uncertainty in the acceptance, and obtain the 95% CL upper limit of 23.0 fb on the 416 total tttt cross section, slightly weaker than the 22.5 fb limit obtained in Section 7. Comparing 417 this upper limit to the predicted cross section in models where tttt production includes a ϕ or a 418 Z' in addition to SM contributions, we set limits on the masses and couplings of these new par-419 ticles, shown in Fig. 6. These limits exclude couplings larger than 1.2 for m_{ϕ} in the 25–340 GeV 420 range and larger than 0.1 (0.9) for $m_{Z'} = 25$ (300) GeV. 421

We consider on-shell effects from new scalar and pseudoscalar particles with $m > 2m_t$. At such 422 masses, the production rate of these particles in association with a single top quark (tqH/A), 423 tWH/A) becomes significant, so we include these processes in addition to ttH/A. As pointed 424 out in Ref. [6], these processes do not suffer significant interference with the SM tttt process. 425 To obtain upper limits on the sum of these processes followed by the decay $H/A \rightarrow t\bar{t}$, we use 426 the BDT analysis and treat the SM tttt process as a background. Figure 7 shows the excluded 427 cross section as a function of the mass of the scalar (left) and pseudoscalar (right). Comparing 428 these limits with the Type-II 2HDM cross sections with $\tan \beta = 1$ in the alignment limit, we 429 exclude scalar (pseudoscalar) masses up to 470 (550) GeV, improving by more than 100 GeV 430

with respect to the previous CMS limits [26]. Alternatively, we consider the simplified model 431 of dark matter defined in Ref. [35], which includes a Dirac fermion dark matter candidate, χ , 432 in addition to H/A, and where the couplings of H/A to SM fermions and χ are determined by 433 parameters g_{SM} and g_{DM} , respectively. In this model, exclusions similar to those from 2HDM 434 are reached by assuming $g_{\rm SM} = 1$ and $g_{\rm DM} = 1$, and taking $m_{\rm H/A} < 2m_{\chi}$. Relaxing the 2HDM 435 assumption of tan $\beta = 1$, Fig. 8 shows the 2HDM limit as a function of H/A mass and tan β , 436 considering one new particle at a time and also including a scenario with $m_{\rm H} = m_{\rm A}$ inspired 437 by a special case of Type-II 2HDM, the hMSSM [76]. Values of tan β up to 0.8–1.6 are excluded, 438 depending on the assumptions made. These exclusions are comparable to those of a recent 439 CMS search for the resonant production of H/A in the $p \rightarrow H/A \rightarrow t\bar{t}$ channel [77]. Relaxing 440 the $m_{\rm H/A} < 2m_{\chi}$ assumption in the dark matter model, Fig. 9 shows the limit in this model as 441 a function of the masses of both H/A and χ , for $g_{DM} = 1$ and for two different assumptions 442 of g_{SM} . Large sections of the phase space of simplified dark matter models are excluded, and 443 the reach of this analysis is complementary to that of analyses considering decays of H/A into 444 invisible dark matter candidates, such as those of Refs. [35, 78]. 445



Figure 5: The observed $\sigma(pp \rightarrow t\bar{t}t\bar{t})$ (solid line) and 95% CL upper limit (hatched line) are shown as a function of $|y_t/y_t^{SM}|$. The predicted value (dashed line) [2], calculated at LO and scaled to the calculation from Ref. [1], is also plotted. The shaded band around the measured value gives the total uncertainty, while the shaded band around the predicted curve shows the theoretical uncertainty associated with the renormalization and factorization scales.

446 9 Summary

The standard model (SM) production of $t\bar{t}t\bar{t}$ has been studied in data from $\sqrt{s} = 13$ TeV proton-447 proton collisions collected using the CMS detector during the LHC 2016-2018 data-taking pe-448 riod, corresponding to an integrated luminosity of $137 \, \text{fb}^{-1}$. The final state with either two 449 same-sign leptons or at least three leptons is analyzed using two strategies, the first relying on 450 a cut-based categorization in lepton and jet multiplicity and jet flavor, the second taking ad-451 vantage of a multivariate approach to distinguish the $t\bar{t}t\bar{t}$ signal from its many backgrounds. 452 The more precise multivariate strategy yields an observed (expected) significance of 2.6 (2.7) 453 standard deviations relative to the background-only hypothesis, and a measured value for the 454



Figure 6: The 95% CL exclusion regions in the plane of the ϕ/Z' -top quark coupling versus m_{ϕ} or $m_{Z'}$. The excluded regions are above the hatched lines.



Figure 7: The observed (points) and expected (dashed line) 95% CL upper limits on the cross section times branching fraction to $t\bar{t}$ for the production of a new heavy scalar H (left) and pseudoscalar A (right), as a function of mass. The inner and outer bands around the expected limits indicate the regions containing 68 and 95%, respectively, of the distribution of limits under the background-only hypothesis. Theoretical values are shown for Type-II 2HDM in the alignment limit (solid line) and simplified dark matter (dot-dashed line) models.



Figure 8: The observed (solid curve) and expected (long-dashed curve) 95% CL exclusion regions in the tan β versus mass plane for Type-II 2HDM models in the alignment limit for a new scalar H (upper left), pseudoscalar A (upper right), and both (lower) particles. The short-dashed curves around the expected limits indicate the region containing 68% of the distribution of limits expected under the background-only hypothesis. The excluded regions are below the curves.



Figure 9: Exclusion regions at 95% CL in the plane of m_{χ} vs. $m_{\rm H}$ (left) or $m_{\rm A}$ (right). The outer lighter and inner darker solid curves show the expected and observed limits, respectively, assuming $g_{\rm SM} = g_{\rm DM} = 1$. The excluded regions, shaded, are above the limit curves. The dashed lines show the limits assuming a weaker coupling between H/A and χ , $g_{\rm DM} = 0.5$.

tītī cross section of $12.6^{+5.8}_{-5.2}$ fb. The results based on the two strategies are in agreement with each other and with the SM prediction of $12.0^{+2.2}_{-2.5}$ fb [1].

The results of the boosted decision tree (BDT) analysis are also used to constrain the top quark 457 Yukawa coupling y_t relative to its SM value, based on the $|y_t|$ dependence of $\sigma(pp \rightarrow t\bar{t}t\bar{t})$ cal-458 culated at leading order in Ref. [2], resulting in the 95% confidence level (CL) limit of $|y_t/y_t^{SM}| <$ 459 1.7. The Higgs boson oblique parameter in the effective field theory framework [11] is similarly 460 constrained to $\hat{H} < 0.12$ at 95% CL. Upper limits ranging from 0.1 to 1.2 are also set on the cou-461 pling between the top quark and a new scalar (ϕ) or vector (Z') particle with mass less than 462 twice that of the top quark (m_t) [9]. For new scalar (H) or pseudoscalar (A) particles with 463 $m > 2m_t$, and decaying to $t\bar{t}$, their production in association with a single top quark or a top 464 quark pair is probed. The resulting cross section upper limit, between 15 and 35 fb at 95% CL, is 465 interpreted in the context of Type-II two-Higgs-doublet models [4–6, 76] as a function of tan β 466 and $m_{\rm H/A}$, and in the context of simplified dark matter models [7, 8], as a function of $m_{\rm H/A}$ 467 and the mass of the dark matter candidate. 468

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